

MAY 16 2003

LIST OF INFORMATION DISCLOSED BY APPLICANT

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MAY 16 2003

Technology Center 2100

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| ATTY. DOCKET NO. <i>179-31</i> 05456.105005 | SERIAL NO. 09/844,448 | FILING DATE April 27, 2001 |
| APPLICANT Houston et al. | GROUP 2131 | |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|----------|------------------|-------|----------|----------------------------|
| HS | AA | 5,345,595 | 09/06/94 | Johnson et al. | | | |
| HS | AB | 6,266,774 | 07/24/01 | Sampath et al. | | | |
| HS | AC | 6,026,442 | 02/15/00 | Lewis et al. | | | |
| HS | AD | 6,016,553 | 01/18/00 | Schneider et al. | | | |
| | AE | 6,014,645 | 01/11/00 | Cunningham | | | |
| | AF | 5,881,236 | 03/09/99 | Dickey | | | |
| | AG | 5,764,890 | 06/09/98 | Glasser et al. | | | |
| | AH | 5,630,061 | 05/13/97 | Richter et al. | | | |
| | AI | 5,414,833 | 05/09/95 | Hershey et al. | | | |
| | AJ | 4,819,234 | 04/04/89 | Huber | | | |
| | AK | 6,275,938 | 08/14/01 | Bond et al. | | | |
| | AL | 5,950,012 | 09/07/99 | Shiell et al. | | | |
| | AM | 5,278,901 | 01/11/94 | Shieh et al. | | | |
| | AN | 6,405,318 | 06/11/02 | Rowland | | | |
| | AO | 5,475,839 | 12/12/95 | Watson et al. | | | |
| | AP | 5,590,331 | 12/31/96 | Lewis et al. | | | |
| HS | AQ | 5,761,504 | 06/02/98 | Corrigan et al. | | | |
| | AR | | | | | | |

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| EXAMINER <i>Junk To Son</i> | DATE CONSIDERED <i>16/18/04</i> |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

FORM PTO-1449 Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT
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ATTY. DOCKET NO.

05456.105005

SERIAL NO.

09/844,448

FILING DATE

April 27, 2001

APPLICANT

Houston et al.

GROUP

2131

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 MAY 16 2003
 Technology Center 2100

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | NAME | TRANSLATION |
|----|----|-----------------|----------|---------|---------------------------------|--------------|
| | | | | | | YES NO. |
| HG | BA | WO 01/84285 | 11/08/01 | PCT | Internet Security Systems, Inc. | |
| HG | BB | WO 02/06928 | 01/24/02 | PCT | vCIS, Inc. | |
| HG | BC | WO 00/54458 | 09/14/00 | PCT | Psionic Software, Inc. | |
| HG | BD | WO 02/056152 | 07/18/02 | PCT | Psionic Software, Inc. | |
| | BE | | | | | |
| | BF | | | | | |
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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Juli S. Son

DATE CONSIDERED

10/13/04

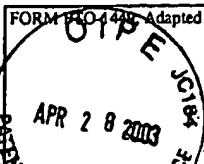
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APR 2 8 2003
PATENT & TRADEMARK OFFICE

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|-----------------------|----|-----------------|----------------|-----------------------|------------------------|----------|----------------------------|
| 05456.105005 | | 09/844,448 | April 27, 2001 | | | | |
| APPLICANT | | | GROUP | | | | |
| Houston et al. | | | 2131 | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| JG | AA | 5,857,191 | 01/05/99 | Blackwell, Jr. et al. | RECEIVED | | |
| | AB | 6,035,423 | 03/07/00 | Hodges et al. | APR 3 0 2003 | | |
| | AC | 5,586,260 | 12/17/96 | Hu | Technology Center 2100 | | |
| | AD | 5,623,601 | 04/22/97 | Vu | | | |
| | AE | 5,764,887 | 06/09/98 | Kells et al. | | | |
| | AF | 5,787,177 | 07/28/98 | Leppek | | | |
| | AG | 5,796,942 | 08/18/98 | Esbensen | | | |
| | AH | 5,798,706 | 08/25/98 | Kraemer et al. | | | |
| | AI | 5,815,574 | 09/29/98 | Fortinsky | | | |
| | AJ | 5,828,833 | 10/27/98 | Belville et al. | | | |
| | AK | 5,832,208 | 11/03/98 | Chen et al. | | | |
| | AL | 5,832,211 | 11/03/98 | Blakley, III et al. | | | |
| | AM | 5,838,903 | 11/17/98 | Blakely, III et al. | | | |
| | AN | 5,864,665 | 01/26/99 | Tran | | | |
| | AO | 5,875,296 | 02/23/99 | Shi et al. | | | |
| | AP | 5,983,270 | 11/09/99 | Abraham et al. | | | |
| | AQ | 5,983,348 | 11/09/99 | Ji | | | |
| | AR | 5,987,606 | 11/16/99 | Cirasole et al. | | | |
| | AS | 5,987,611 | 11/16/99 | Freund | | | |
| | AT | 6,088,803 | 07/11/00 | Tso et al. | | | |
| JG | AU | 6,098,173 | 08/01/00 | Eggersv et al. | | | |



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| ATTY. DOCKET NO. 05456.T05005 | SERIAL NO. 09/844,448 | FILING DATE April 27, 2001 |
| APPLICANT Houston et al. | GROUP 2131 Technology Center 2100 | |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|----------|----------------|-------|----------|----------------------------|
| HS | BA | 6,119,234 | 09/12/00 | Aziz et al. | | | |
| | BB | 5,606,668 | 02/25/97 | Shwed | | | |
| | BC | 5,835,726 | 11/10/98 | Shwed et al. | | | |
| | BD | 5,884,033 | 03/16/99 | Duvall et al. | | | |
| | BE | 5,964,839 | 10/12/99 | Johnson et al. | | | |
| | BF | 6,041,347 | 03/21/00 | Harsham et al. | | | |
| | BG | 6,061,795 | 05/09/00 | Dircks et al. | | | |
| HS | BH | 6,085,224 | 07/04/00 | Wagner | | | |
| | BI | | | | | | |
| | BJ | | | | | | |
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JAN 22 2003

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JAN 24 2003
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APPLICANT REFERENCE NO.
05456.105005SERIAL NO.
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2131**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|-----------|-------------|-------|----------|----------------------------|
| HS | AA 6,088,804 | 7/11/2000 | Hill et al. | | | 1/12/1998 |
| | AB | | | | | |
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| | AK | | | | |
| | AL | | | | |
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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|----|----|---|
| HS | AN | Essex, David; <i>E-Sleuths Make Net Safe for E-Commerce</i> ; Computerworld; June 2000; pp. 1-2 |
| HS | AO | Newman, David; <i>Intrusion Detection Systems</i> ; Data Communications; 1998; pp. 1-9 |
| HS | AP | International Search Report for PCT/US 02/17161 dated December 31, 2002 |
| | AQ | |

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FORM PTO 1449, Adapted

APR 12 2002
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Houston, et al.

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| AA | | | | | | |
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FOREIGN PATENT DOCUMENTS

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| AL | | | | | |
| AM | | | | | |
| AN | | | | | |
| AO | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| JCS | AP | Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pgs. 59 - 68, (1998) |
| JCS | AR | Koilpillai, et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pgs. 199-206, (2000) |
| JCS | AS | Alves-Foss, J., An overview of SNIF: a tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pgs. 94-101 (1995) |
| JCS | AT | Mansouri-Samani, et al., A Configurable Event Service for Distributed Systems, Configurable Distributed Systems, 1996, pgs. 210-217, (1996) |
| JCS | AU | International Search Report for PCT/US 01/13769, March 8, 2002 |

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